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Express Mail No.: EL 451 597 495 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Robert G. Tryon, III, *et al.*

Application No.: 10/043,712

Group Art Unit: 2857

Filed: January 8, 2002

Examiner: Not yet assigned

For: **METHOD AND APPARATUS FOR  
PREDICTING FAILURE IN A SYSTEM**

Attorney Docket No.: 10652-005-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

**BOX: Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed by the U.S. Patent and Trademark Office on April 2, 2002, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration for Nonprovisional Patent Application signed by the inventors;
2. Substitute Drawings in compliance with 37 C.F.R. 1.84; and
3. Part 2 - Notice to File Missing Parts of Nonprovisional Application.

2 434 442 7 1 22 4 35 43 44 22  
The U.S. Patent and Trademark Office is hereby authorized to charge the following fees  
to Pennie & Edmonds LLP Deposit Account No. 16-1150:

1. Surcharge fee for filing declaration  
on a date later than the filing date  
of the application. \$ 65.00

**TOTAL** \$ 65.00

The Commissioner is hereby authorized to charge any additional fees associated with  
this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit  
Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

The documents submitted complete the filing of the above patent application and justify  
the filing date of January 8, 2002.

Respectfully submitted,

Date: June 3, 2002

  
Francis E. Morris 24,615  
(Reg. No.)  
**PENNIE & EDMONDS LLP**  
3300 Hillview Avenue  
Palo Alto, California 94304  
(650) 493-4935

Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,712	01/08/2002	Robert G. Tryon III	10652-005-999

24341  
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CONFIRMATION NO. 6460  
FORMALITIES LETTER



\*OC000000007768012\*

Date Mailed: 04/02/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

